

Applicant:

K. Karhu

Serial No.:

10/705,800

Cust. No.:

33123

Filed:

November 10, 2003

For:

**DUAL-MODE RESONATOR** 

Art Unit:

2817

Examiner:

Not yet assigned

## **CERTIFICATE OF MAILING**

I hereby certify that this correspondence and the attached papers are being deposited with the United States Postal Service with sufficient postage as first class mail on the date indicated below in an envelope addressed to:

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

3/17/04

Michalle Melende

Sig

## TRANSMITTAL LETTER

Commissioner for Patents PO Box 1450 Alexandria, Virginia 22313-1450

Sir:

Transmitted herewith for filing in connection with the above-identified application are an Information Disclosure Statement, Form PTO-1449 (1 pg.), and the cited references. Because this Information Disclosure Statement is filed prior to receipt of a first office action on the merits in the above-referenced application, no fee is due. However, should it be determined that a fee for filing these papers is required, the Commissioner is authorized to charge Deposit Account No. 05-1213, as stated below:

[X] The Commissioner is hereby authorized to charge any fees that may be due under 37 C.F.R. §§ 1.16-1.17 in connection with this paper or with this application during its entire pendency to Deposit Account No. 05-1213. A copy of this sheet is enclosed.

Respectfully submitted,

HELLER, EHRMAN, WHITE & McAULIFFE LLP

David A. Hall

Registration No. 32,233

Attorney Docket No. 21860-6147B

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Date

Signature

## INFORMATION DISCLOSURE STATEMENT IN ACCORDANCE WITH 37 C.F.R. §§ 1.97-1.98

Commissioner for Patents PO Box 1450 Alexandria, Virginia 22313-1450

Sir:

Because this Information Disclosure Statement is filed prior to receipt of a First Office Action on the merits of the above-captioned application, a fee for filing this statement should not be due. If, however, it is determined that a fee is due, then any fees that may be due in connection with filing this paper may be charged to Deposit Account No. 50-1213.

In accordance with the duty of disclosure imposed by 37 C.F.R. § 1.56 to inform the Patent Office of all material references known by Applicant or Applicants' representative, this Information Disclosure Statement prepared in accordance with 37 C.F.R. §§ 1.97-1.98 is hereby submitted. The Form PTO-1449 (1 page) is provided herewith for filing in connection with the above cited application. The cited references that comprise issued U.S. patents are not attached, per the Notice of waiver published in the Official Gazette on August 5, 2003. A copy of the non-patent documents are provided, per 37 C.F.R. § 1.98(a)(2).

The document(s) cited on the Form PTO-1449 are in the English language. Hence, in accordance with the requirements of 37 C.F.R. § 1.98, as amended effective March 16, 1992, no further explanation of the listed items is necessary.

Although these documents and information are made known to the Patent and Trademark Office in compliance with Applicants' duty of disclosure, such disclosure is not to be construed as an admission by Applicants or Applicants' representative that any of the

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Serial No. 10/705,800 - Filed: November 10, 2003

Information Disclosure Statement

Page 2

references, singly or in any combination thereof, is effective as prior art against the subject application. In accordance with 37 C.F.R. § 1.97(h), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. § 1.56(b) exists.

Applicants respectfully request that the Examiner review the foregoing references and request that they be made of record in the file history of the above-captioned application.

Respectfully submitted, HELLER, EHRMAN, WHITE & McAULIFFE LLP

David A Hal

Registration No. 32,233

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SD 653940 v1 (21860.6147)

IN THE UNITED STATES PARENT AND THE

DEMARK OFFICE

**INFORMATION DISCLOSURE STATEMENT** 

UNDER 37 CFR §1.56, §1.97, and §1.98 **PTO-1449 FORM** 

Sheet 1 of 1

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	ATTORNEY DOCKET NO.:	SERIAL NO.:
	21860-6147B	10/705,800
	APPLICANTS:	
	KARHII K	

**GROUP ART UNIT: 2817** 

FILING DATE: November 10, 2003

		0.5	. PATENT DOCUMENTS		
*REF.#	PATENT NUMBER	DATE	NAME	U.S. CLASS/ SUBCLASS	FILING DATE (If appropriate)
Α	4,327,330	04/27/82	Ranghelli	333/125	
В	4,423,397	12/27/83	Nishikawa, et al	333/219	
С	4,642,591	02/10/87	Kobayashi	333/227	
D	5,325,077	06/28/94	Ishikawa et al	333/219	
E	5,642,085	06/24/97	Abe et al	333/219.1	
F	5,710,530	01/20/98	Wada et al	333/219.1	
G	5,796,320	08/18/98	Hattori et al	333/219.1	
Н	5,880,650	03/09/99	Latouche et al	333/202	
l	6,262,639	07/17/01	Shu et al	333/202	
J	6,433,652	08/13/02	Hattori et al	333/202	
		FOREI	GN PATENT DOCUMENTS		
*REF. #					TRANSLATION (YES/NO)
			OTHER DOCUMENTS		
*REF. #		CITATION (Auti	nor, Article Title, Journal/Book Title, Date, Pertinent Pages	s, etc.)	
K Fernando, <i>et al.</i> , "Dual-mode TE <sub>01δ</sub> dielectric resonator," 29th European Microwave Con		ve Conference	e - Munich,		
L				E Transaction	s on
М	Mansour, et al., "Qua	asi dual-mode r	esonators," <u>IEEE</u> (2000)		-
N		shikawa, <i>et al.</i> , "800 MHz band dielectric channel dropping filter using TM <sub>110</sub> triple mode resonance," <u>IEEE</u> <u>IT-S Digest</u> , pp. 289-292 (1985)			
	A B C D E F G H I J *REF.# K L	A 4,327,330  B 4,423,397  C 4,642,591  D 5,325,077  E 5,642,085  F 5,710,530  G 5,796,320  H 5,880,650  I 6,262,639  J 6,433,652  *REF. #  K Fernando, et al., "Dupp. 51-54 (1999)  L Hunter, et al., "Dualimicrowave Theory and Mansour, et al., "Qualimicrowave, et al., "Rouse, et	A 4,327,330 04/27/82  B 4,423,397 12/27/83  C 4,642,591 02/10/87  D 5,325,077 06/28/94  E 5,642,085 06/24/97  F 5,710,530 01/20/98  G 5,796,320 08/18/98  H 5,880,650 03/09/99  I 6,262,639 07/17/01  J 6,433,652 08/13/02  FOREI  *REF. #  CITATION (Auth  K Fernando, et al., "Dual-mode TE <sub>01</sub> δ pp. 51-54 (1999)  L Hunter, et al., "Dual-mode filters with Microwave Theory and Techniques  M Mansour, et al., "Quasi dual-mode results and the second	A 4,327,330 04/27/82 Ranghelli  B 4,423,397 12/27/83 Nishikawa, et al  C 4,642,591 02/10/87 Kobayashi  D 5,325,077 06/28/94 Ishikawa et al  E 5,642,085 06/24/97 Abe et al  F 5,710,530 01/20/98 Wada et al  G 5,796,320 08/18/98 Hattori et al  H 5,880,650 03/09/99 Latouche et al  I 6,262,639 07/17/01 Shu et al  J 6,433,652 08/13/02 Hattori et al  FOREIGN PATENT DOCUMENTS  **REF.#*  CITATION (Author, Article Title, Journal/Book Title, Date, Pertinent Pages  K Fernando, et al., "Dual-mode TE <sub>018</sub> dielectric resonator," 29th European Microwar pp. 51-54 (1999)  L Hunter, et al., "Dual-mode filters with conductor-loaded dielectric resonators," IEE Microwave Theory and Techniques 47:2304-2311 (1999)  M Mansour, et al., "Quasi dual-mode resonators," IEEE (2000)  N Nishikawa, et al., "800 MHz band dielectric channel dropping filter using TM <sub>110</sub> trip	REF. #         PATENT NUMBER         DATE         NAME         SUBCLASS           A         4,327,330         04/27/82         Ranghelli         333/125           B         4,423,397         12/27/83         Nishikawa, et al         333/219           C         4,642,591         02/10/87         Kobayashi         333/227           D         5,325,077         06/28/94         Ishikawa et al         333/219.1           E         5,642,085         06/24/97         Abe et al         333/219.1           F         5,710,530         01/20/98         Wada et al         333/219.1           G         5,796,320         08/18/98         Hattori et al         333/219.1           H         5,880,650         03/09/99         Latouche et al         333/202           J         6,262,639         07/17/01         Shu et al         333/202           FOREIGN PATENT DOCUMENTS           FOREIGN PATENT DOCUMENTS           **REF. #         CITATION (Author, Article Title, Journal/Book Title, Date, Pertinent Pages, etc.)           K           FOREIGN PATENT DOCUMENTS           **** Ferrando, et al., "Dual-mode Te <sub>016</sub> dielectric resonator," 29th European Microwave Conference pp. 51-54 (1999)

DATE CONSIDERED	DATE C		XAMINER'S SIGNATURE

<sup>†</sup> EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609. Line through citation if not in conformance and not

considered. Include copy of this form in next communication to applicant.

\* If an asterisk is placed beside the reference number, a copy is not provided because the reference was previously cited by or submitted to the PTO in a prior application that is identified in the statement and relied upon for an earlier filing date under 35 U.S.C. 120. 37 C.F.R. 1.98(d).